

# Closed-Loop Control of a Three-Phase Neutral-Point-Clamped Inverter Using an Optimized Virtual-Vector-Based Pulsewidth Modulation

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**Abstract**—This paper presents a closed-loop control scheme for the three-level three-phase neutral-point-clamped dc–ac converter using the optimized nearest three virtual-space-vector pulsewidth modulation, which is a modulation that produces low output-voltage distortion with a significant reduction of the dc-link capacitance. A new specific loop modifying the modulating waveforms is proposed to rapidly control possible perturbations in the neutral-point voltage balance. An online estimation of the load displacement angle and load linear/nonlinear nature is introduced at no extra cost. The remaining part of the control is analogous to the control for a two-level converter with an appropriate interfacing to the selected modulation. The closed-loop control is designed for the case of a renewable-energy source connected to the ac mains, and its performance is analyzed through simulation and experiments.

**Index Terms**—Multilevel, neutral-point voltage balancing, pulsewidth modulation (PWM), renewable-energy systems, space-vector modulation, three-level three-phase neutral-point-clamped (NPC) dc–ac converter, virtual vectors, wind-energy systems.

## I. INTRODUCTION

MULTILEVEL converters [1], [2] are currently a particularly active area of research [3]–[26] due to their significant advantages in electrical power conversion. In these topologies and compared with a two-level converter, the voltage across each semiconductor, the output-voltage harmonic distortion, and the converter losses are reduced. However, a larger number of semiconductors are needed, and the modulation strategy to control them becomes more complex.

Multilevel converters are typically considered for high-power applications because they allow operations at higher dc-link voltage levels, avoiding the problems of the series interconnection of devices. It has been recently reported that they

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present better efficiency than other alternative topologies [20]. Moreover, they can also be interesting for medium- or even low-power/voltage applications because they allow operations with lower voltage-rated devices, which is with potentially better performance/economical features [27], [28].

Among these topologies, the three-level three-phase neutral-point-clamped (NPC) dc–ac converter [29], which is shown in Fig. 1(a), is probably the most popular. The application of conventional modulation techniques to this converter causes a low-frequency (around three times the fundamental frequency of the output voltage,  $f_o = 1/T_o$ ) oscillation of the neutral-point voltage. This, in turn, increases the voltage stress on the devices and generates low-order harmonics in the output voltage.

There have been many efforts to analyze this problem and define a modulation strategy to solve it [4], [26], [30]–[40], thereby eliminating the need to significantly increase the dc-side capacitance to minimize the voltage oscillation.

Among them, the nearest three virtual-space-vector (NTV<sup>2</sup>) pulsewidth modulation (PWM) [39] allows the control of the neutral-point voltage over the full range of converter-output voltages and for any load. The optimized NTV<sup>2</sup> (ONTV<sup>2</sup>) PWM [40] also allows one to comprehensively control the neutral-point voltage but with a lower output-voltage harmonic distortion in the case of linear-and-balanced ac loads.

The design of a closed-loop control scheme interfacing the ONTV<sup>2</sup> PWM is not straightforward: on the one hand, because the modulation scheme is defined in  $d-q-0$  coordinates and, on the other hand, because there is a need to introduce a closed loop modifying the modulating waveforms in order to correct possible dc-link capacitor-voltage-balance perturbations. Additionally, an online estimation of the load displacement angle and linear/nonlinear nature is needed. This paper presents a proposal of such control for a renewable-energy system, which is without the loss of generality, and the performance of the proposed control scheme is verified through simulation and experiments.

This paper is organized as follows. Section II presents a summary of the core modulation strategy. Section III describes the closed-loop control, which is designed for the specific case of the connection of a renewable-energy source to the grid. Section IV presents simulation and experimental results to verify the good system performance. Finally, Section V outlines the conclusions.

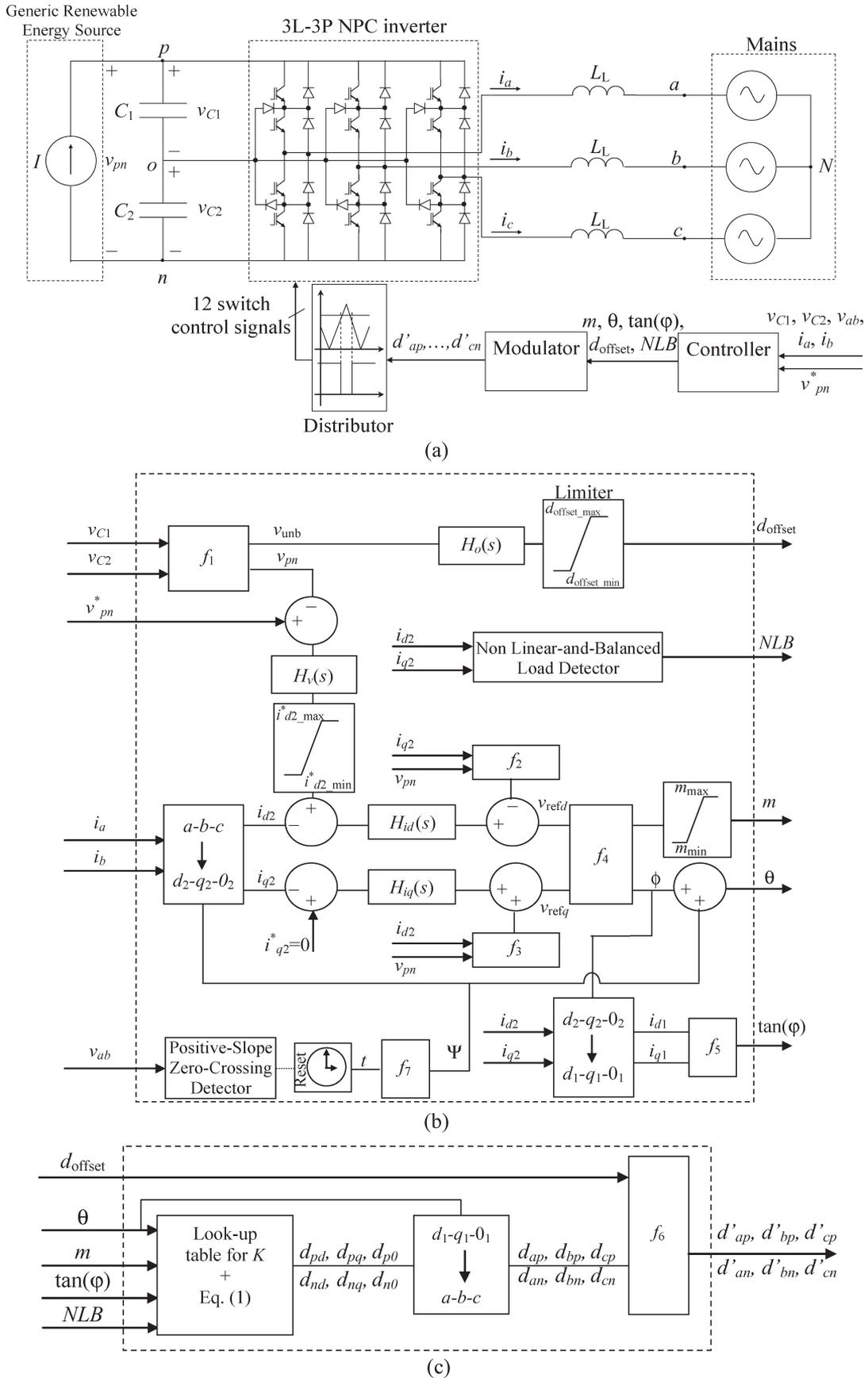


Fig. 1. System block diagram. (a) Power stage plus control. (b) Controller structure. (c) Modulator structure.

## II. ONTV<sup>2</sup> PWM

Let us designate  $d_{ap}$ ,  $d_{bp}$ ,  $d_{cp}$ ,  $d_{an}$ ,  $d_{bn}$ , and  $d_{cn}$  as the six independent converter phase duty ratios, where  $d_{xy}$  refers to the duty ratio of the phase  $x$  connection to the dc-link point  $y$ . Equation (1), which is shown at the bottom of the page, reproduces from [40] the six expressions that define the ONTV<sup>2</sup> PWM in terms of these six independent converter phase duty ratios in the  $d-q-0$  coordinates ( $d_{pd}$ ,  $d_{pq}$ ,  $d_{p0}$ ,  $d_{nd}$ ,  $d_{nq}$ , and  $d_{n0}$ ), where  $m \in [0, 1]$  and  $\theta$  are, respectively, the length and angle (with reference to the axis  $\alpha$ , which is aligned with the vector  $\mathbf{V}_{L1}$  corresponding to switching state  $pnn$  [39]) of the reference vector  $\mathbf{V}_{\text{ref}}$ , which is a rotating vector in the converter space-vector plane that represents the desired fundamental converter-output three-phase voltage. The expressions in (1) assume that the axis  $d$  of the  $d-q-0$  transformation of the phase duty ratios is aligned with  $\mathbf{V}_{\text{ref}}$ . The variable  $\varphi$  is the ac load/source displacement angle, and the optimum value of the parameter  $K$  is a function of  $m$  and  $\varphi$ . In [40], expressions are provided to compute the value of  $K$  as a function of  $m$  and  $\varphi$ . Alternatively, a lookup table as a function of  $m$  and  $\tan(\varphi)$  can be generated to select the appropriate value of  $K$  online.

Given the values of  $m$ ,  $\theta$ , and  $\tan(\varphi)$ , the duty ratios in the  $d-q-0$  coordinates can be obtained from (1) and the lookup table or expressions to compute  $K$ . Applying the inverse  $d-q-0$  transformation, we then obtain the independent phase duty ratios  $d_{ap}$ ,  $d_{bp}$ ,  $d_{cp}$ ,  $d_{an}$ ,  $d_{bn}$ , and  $d_{cn}$ . From these duty ratios and assuming that the sequence within a switching cycle of connection of each phase to each of the dc-link points is the symmetrical  $p-o-n-o-p$ , it is fairly straightforward to generate the 12 switch control signals.

## III. CLOSED-LOOP CONTROL DESIGN

The proposed modulator and controller have been designed and implemented for the particular system in Fig. 1(a). The purpose of the system is to send the energy from a renewable-energy source to the mains with a unity displacement factor while also regulating the voltage  $\nu_{pn}$ . The closed-loop control designed is applicable to any system where the power source

can be basically modeled as a current source and the energy is sent to the mains, such as a wind-energy conversion system where the ac generator is connected to a noncontrolled boost rectifier [41]. The diagrams of Fig. 1(b) and (c) show the proposed controller and modulator structure, which is discussed in detail in the following sections.

### A. Neutral-Point Voltage Control

The ONTV<sup>2</sup> PWM guarantees no low-frequency oscillations of  $\nu_{\text{unb}} = (\nu_{C_2} - \nu_{C_1})/2$  due to the loading conditions of the converter, provided that the addition of line currents equals zero. Even if the load presents a severe nonlinearity or unbalance, this will not affect the dc-link voltage balance if we set  $K = 0$ . The occurrence of neutral-point voltage perturbations should, however, be considered. Perturbations can occur if, for example, there is a leakage current flowing from the load neutral to ground, causing the addition of the three-phase currents to be different from zero. The nonidealities and, particularly, the differences in the switching behavior of the converter devices are another possible source of disturbances.

As discussed in [42], certain modulations have the property of naturally recovering the dc-link voltage balance after a perturbation in the absence of a dedicated control action. The ONTV<sup>2</sup> PWM with  $K = 0$  does not belong to this family of modulations. It does not affect the balance of the dc-link capacitor voltages. If an unbalance exists at a given point in time, the ONTV<sup>2</sup> PWM with  $K = 0$  will preserve this unbalance [see Fig. 2(a)]. However, the ONTV<sup>2</sup> with  $K > 0$  belongs to the set of modulations that naturally recover the balance [see Fig. 2(b)]. The higher the value of  $K$ , the faster the system recovers the balance. Nevertheless, this natural recovery process is usually slow.

The addition of discharging resistors to the dc-link capacitors also helps recovering the balance after a perturbation. Their resistance value is usually high, however, and the recovery process, thanks to these resistors, is also slow.

Considering that all preexisting possible balance recovery processes do not seem to be effective/fast enough, an

$$d_{pq} = -K \cdot \sin(3 \cdot \theta)$$

$$d_{pd} = \tan(\varphi) \cdot d_{pq} + m/\sqrt{2}$$

$$d_{nd} = d_{pd} - \sqrt{2} \cdot m$$

$$d_{nq} = d_{pq}$$

$$\begin{cases} \theta \leq 2\pi/3 : & d_{p0} = \sqrt{2} \cdot (-d_{pd} \cdot \cos(\theta + 2\pi/3) + d_{pq} \cdot \sin(\theta + 2\pi/3)) \\ 2\pi/3 < \theta \leq 4\pi/3 : & d_{p0} = \sqrt{2} \cdot (-d_{pd} \cdot \cos(\theta) + d_{pq} \cdot \sin(\theta)) \\ \theta > 4\pi/3 : & d_{p0} = \sqrt{2} \cdot (-d_{pd} \cdot \cos(\theta - 2\pi/3) + d_{pq} \cdot \sin(\theta - 2\pi/3)) \end{cases}$$

$$\begin{cases} \theta \leq \pi/3, \theta > 5\pi/3 : & d_{n0} = \sqrt{2} \cdot (-d_{nd} \cdot \cos(\theta) + d_{nq} \cdot \sin(\theta)) \\ \pi/3 < \theta \leq \pi : & d_{n0} = \sqrt{2} \cdot (-d_{nd} \cdot \cos(\theta - 2\pi/3) + d_{nq} \cdot \sin(\theta - 2\pi/3)) \\ \pi < \theta \leq 5\pi/3 : & d_{n0} = \sqrt{2} \cdot (-d_{nd} \cdot \cos(\theta + 2\pi/3) + d_{nq} \cdot \sin(\theta + 2\pi/3)) \end{cases}$$

(1)

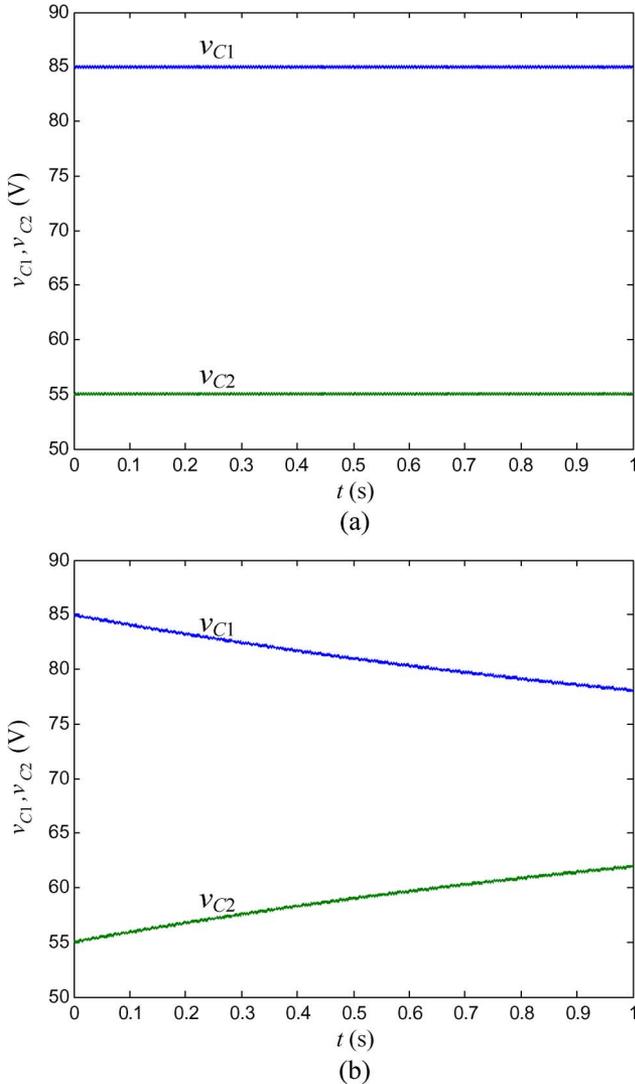


Fig. 2. Natural dc-link capacitor voltage balance recovery transient in the following conditions:  $V_{pn} = 140$  V,  $m = 0.75$ ,  $f_o = 50$  Hz, switching frequency  $f_s = 5$  kHz,  $C_1 = C_2 = 1.1$  mF, and a wye-connected three-phase  $RL$  load ( $R_L = 16.5$   $\Omega$ ,  $L_L = 5$  mH). (a) ONTV<sup>2</sup> PWM,  $K = 0$ . (b) ONTV<sup>2</sup> PWM,  $K = 0.1$ .

appropriate perturbation of the modulating waveforms that allowed one to speed up this process would be helpful.

In the most recent literature, a few proposals for the balancing of three-level three-phase diode-clamped converters have been presented [19], [22], [23]. Reference [22] studies the case of a dual multilevel converter, and hence, the topology differs from the single multilevel converter considered here [Fig. 1(a)]. Reference [23] applies a predictive strategy to control the NPC converter and, in particular, to guarantee the dc-link capacitor voltage balance. However, this strategy requires *a priori* knowledge of the converter load model and leads to a spread spectrum in the output voltage, making the filtering of the output-voltage distortion a challenge. Reference [19] studies the control of the NPC converter with a modulation scheme in essence, which is equivalent to the NTV<sup>2</sup> PWM presented in [39] (ONTV<sup>2</sup> PWM with  $K = 0$ ). To correct any dc-link capacitor voltage unbalance at any given switching cycle, the phase duty ratios of only one phase are perturbed. The phase  $x$  being perturbed is

the one verifying  $d_{xp} > 0$  and  $d_{xn} > 0$ . The duty ratios  $d_{xp}$  and  $d_{xn}$  are both increased (or decreased, depending on the sign of the voltage unbalance and the phase current) the same quantity, in order to recover the balance.

A different control solution is proposed in this paper, which is applicable to the general ONTV<sup>2</sup> PWM. It presents several advantages over the solution in [19], which are discussed at the end of this section. Reference [33] shows that introducing a common-mode voltage into all three line-to-neutral output-voltage waveforms causes an unbalance in the discharging of the dc-link capacitors. This property can be used to speed up the recovery process whenever a dc-link voltage unbalance occurs. In this paper, this control mechanism is adapted to the ONTV<sup>2</sup> PWM.

*A priori*, it is not clear which modulating waveforms need to be modified to introduce this common-mode voltage, considering that there are two (instead of one) modulating waveforms per phase ( $d_{xp}$  and  $d_{xn}$ ). An offset ( $d_{\text{offset}}$ ) has to be added to all three  $d_{ap} - d_{an}$ ,  $d_{bp} - d_{bn}$ , and  $d_{cp} - d_{cn}$  modulating waveforms. There are three options in adding an offset to the  $d_{xp} - d_{xn}$  ( $x \in \{a, b, c\}$ ) waveform.

- 1) Add the offset to  $d_{xp}$ .
- 2) Subtract the offset from  $d_{xn}$ .
- 3) Add part of the offset to  $d_{xp}$ , and subtract the remaining part from  $d_{xn}$ .

A simple and interesting strategy is to apply all the offsets to the duty ratio to be reduced. Considering that the duty ratios must be greater than zero, in case this duty ratio reaches the value of zero, the other duty ratio will be increased a quantity corresponding to the part of the offset that is still not applied. This strategy allows the minimization of the number of commutations because it will maximize the occasions where a nonzero duty ratio becomes zero. With reference to Fig. 1(c), this strategy can be formulated for phase  $x$  as

$$\begin{aligned} d'_{ap} &= f_{61}(d_{ap}, d_{an}, d_{\text{offset}}) & d'_{an} &= f_{62}(d_{ap}, d_{an}, d_{\text{offset}}) \\ d'_{bp} &= f_{63}(d_{bp}, d_{bn}, d_{\text{offset}}) & d'_{bn} &= f_{64}(d_{bp}, d_{bn}, d_{\text{offset}}) \\ d'_{cp} &= f_{65}(d_{cp}, d_{cn}, d_{\text{offset}}) & d'_{cn} &= f_{66}(d_{cp}, d_{cn}, d_{\text{offset}}) \end{aligned}$$

if ( $d_{\text{offset}} \geq 0$ )

{if ( $d_{xn} > d_{\text{offset}}$ )

$$\begin{cases} d'_{xn} = d_{xn} - d_{\text{offset}} \\ d'_{xp} = d_{xp} \end{cases}$$

else

$$\begin{cases} d'_{xn} = 0 \\ d'_{xp} = d_{xp} + (d_{\text{offset}} - d_{xn}) \end{cases}$$

else

{if ( $d_{xp} > |d_{\text{offset}}|$ )

$$\begin{cases} d'_{xp} = d_{xp} - |d_{\text{offset}}| \\ d'_{xn} = d_{xn} \end{cases}$$

else

$$\{d'_{xp} = 0$$

$$d'_{xn} = d_{xn} + (|d_{\text{offset}}| - d_{xp})\} \quad (2)$$

The value of  $d_{\text{offset}}$  to be applied is determined by a compensator from the dc-link capacitor voltage unbalance  $\nu_{\text{unb}} = f_{11}(\nu_{C_1}, \nu_{C_2}) = (\nu_{C_2} - \nu_{C_1})/2$ . This compensator must have a low-pass characteristic in order to react only to perturbations

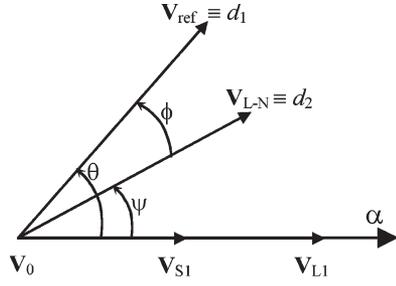


Fig. 3. Location of vectors  $\mathbf{V}_{\text{ref}}$  and  $\mathbf{V}_{L-N}$  that is with reference to the axis  $\alpha$ .



Fig. 4. Three-level three-phase NPC dc-ac converter employed in the experiments. The converter is built with modules SKM 100 GB 123 D, SKM 100 GAL 123 D, and SKM 100 GAR 123 D (1200-V/100-A isolated-gate bipolar transistors). Voltage sensors: ABB VS 500 B. Current sensors: LEM LA 205 S.

in the dc-link voltage balance with frequencies lower than the switching frequency. The sign of  $d_{\text{offset}}$  must change if the direction of the power flow (ac to dc or dc to ac) changes. In applications where the direction of the power flow can vary, this variable must be monitored to guarantee a proper control action.

Compared with the control in [19], the control proposed here presents the following advantages.

- 1) It is applicable to the ONTV<sup>2</sup> PWM, which is for all values of  $K$ ;
- 2) It has a stronger balancing action because, at any given switching cycle, all three phases are being perturbed to recover the balance instead of only one, and the balancing action is not limited to the minimum value (or one minus the maximum value) of the phase duty ratios involved.
- 3) It has lower number of switching transitions, considering that the offset is applied first through the phase duty ratios to be reduced. This will maximize the occasions where a nonzero duty ratio becomes zero, reducing the number of switching transitions.
- 4) It does not require the sensing of the phase currents in unidirectional power-flow applications.

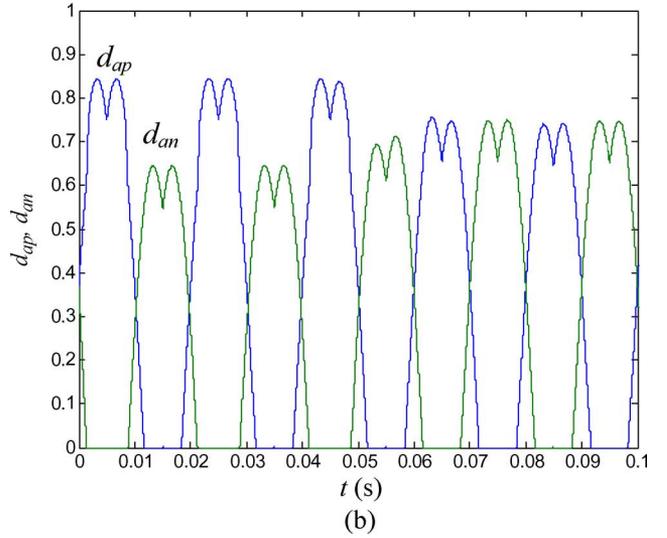
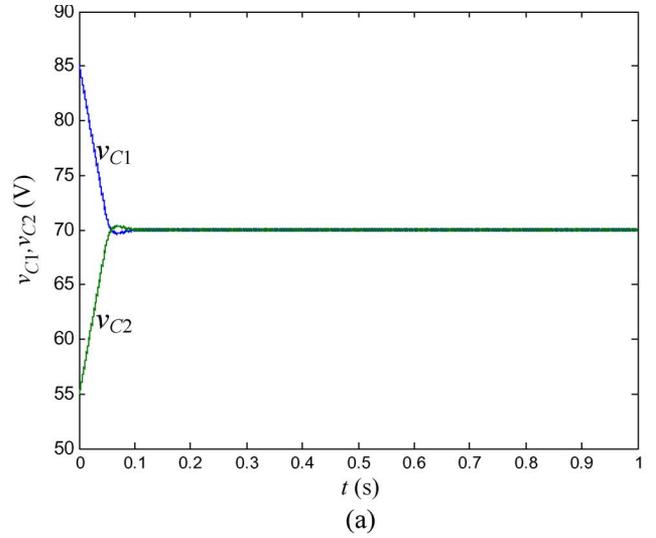


Fig. 5. DC-link capacitor voltage balance recovery transient in the conditions of Fig. 2(a) but with the dedicated neutral-point voltage control activated. (a) DC-link voltages  $v_{C1}$  and  $v_{C2}$ . (b) Phase-*a* independent duty ratios.

### B. Non Linear-and-Balanced Load Detector

Whenever the load is not linear and balanced, we must set  $K = 0$  in order to maintain the neutral-point voltage control. This situation can be detected by monitoring the maximum length of the line-current vector oscillation in the  $d-q$  coordinates and, if it goes beyond a given maximum, activating and latching a non linear-and-balanced load flag (NLB) in order to force  $K = 0$  in the modulator. The value of the Boolean variable NLB ( $\in \{0, 1\}$ ) can be determined according to

$$\text{NLB} = 0, \quad \text{if } \sqrt{\hat{i}_{d2}^2 + \hat{i}_{q2}^2} \leq \hat{i}_{\text{max}}$$

$$\text{NLB} = 1, \quad \text{if } \sqrt{\hat{i}_{d2}^2 + \hat{i}_{q2}^2} > \hat{i}_{\text{max}}$$

$$\hat{i}_{d2}(t) = i_{d2}(t) - \frac{1}{T_o} \int_{t-T_o}^t i_{d2}(t) \cdot dt$$

$$\hat{i}_{q2}(t) = i_{q2}(t) - \frac{1}{T_o} \int_{t-T_o}^t i_{q2}(t) \cdot dt \quad (3)$$

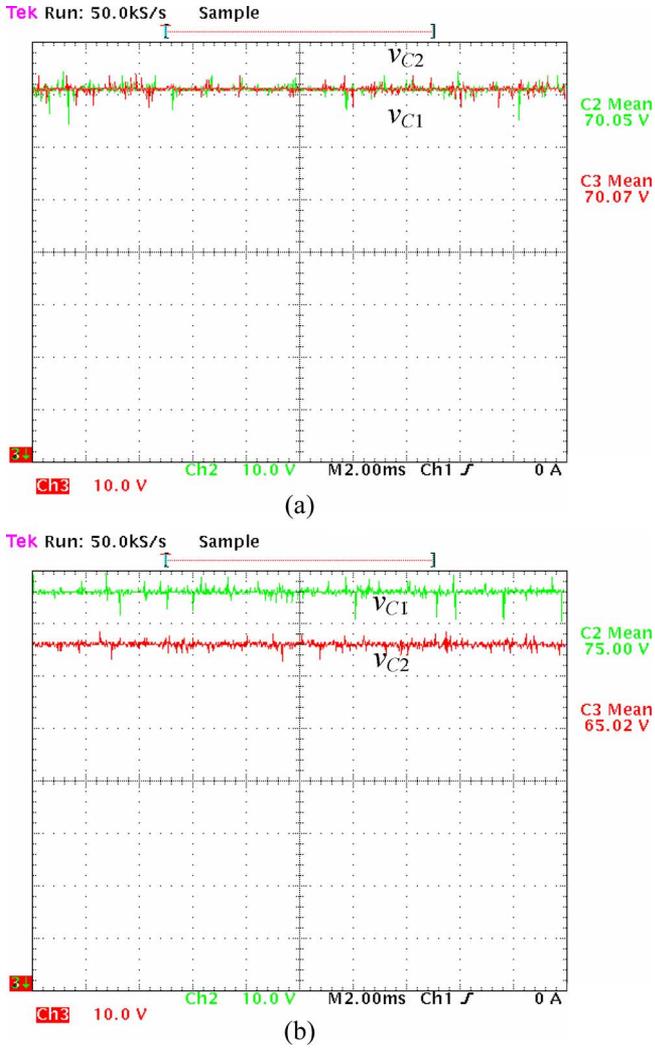


Fig. 6. Neutral-point voltage control performance in the conditions of Fig. 5. Control tuned to achieve (a)  $v_{unb} = 0$  V and (b)  $v_{unb} = -5$  V.

where  $\hat{i}_{max}$  is a constant representing the maximum length of the line-current vector oscillation in the  $d-q$  coordinates.

C. Reference-Vector Computation

From a closed-loop control point of view, the key difference between a two- and a three-level dc-ac converter is that the latter introduces the dynamics of the neutral-point voltage. We can assume that the neutral-point voltage is always balanced, thanks to the chosen modulation and the dedicated control presented previously. Then, the average model of the three-level converter becomes equivalent to the model of a two-level converter. Hence, conventional control schemes and design procedures for the two-level converter can be directly applied to the three-level converter to obtain, from the sensed variables, the reference-vector length  $m$  and the angle  $\theta$  required by the modulator.

For the particular application considered in this paper, the selected control scheme is shown in Fig. 1(b). First, the dc-

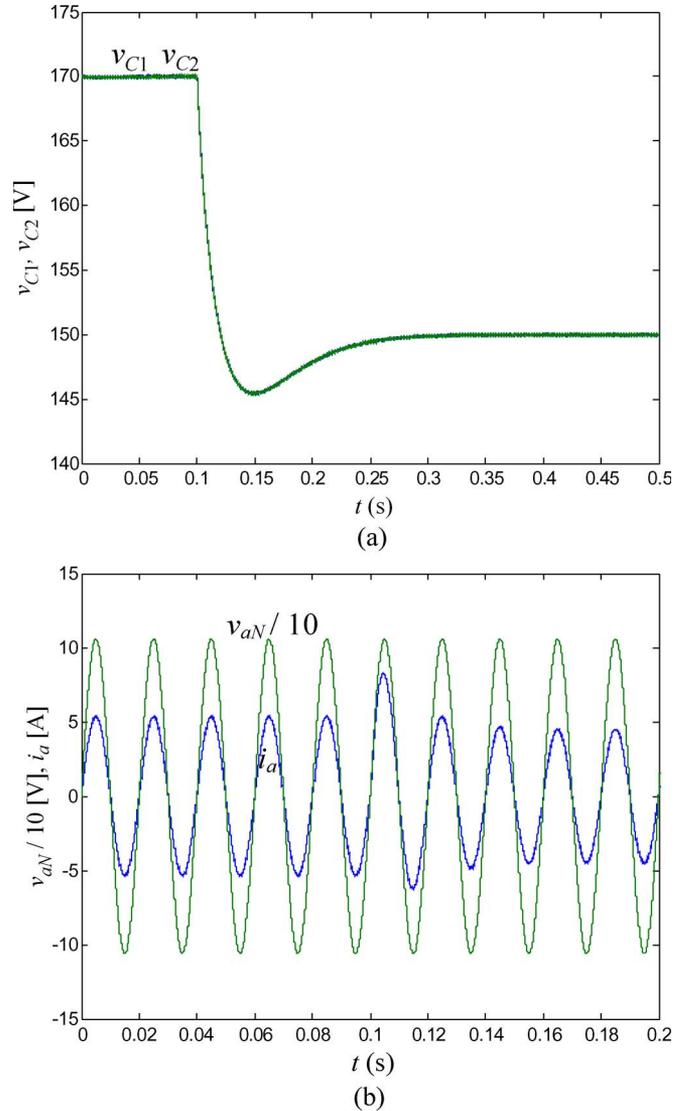


Fig. 7. Simulation results for a step in command  $v_{pn}^*$  at time = 0.1 s in the following conditions:  $I = 2.5$  A,  $C_1 = C_2 = 1.1$  mF,  $L_L = 10$  mH,  $V_{aN} = V_{bN} = V_{cN} = 75$  V<sub>rms</sub>,  $f_o = 50$  Hz, and  $f_s = 5$  kHz. (a) DC-link voltages  $v_{C1}$  and  $v_{C2}$ . (b) Mains voltage  $v_{aN}$  and line current  $i_a$ .

link voltage  $v_{pn} = f_{12}(v_{C1}, v_{C2}) = v_{C1} + v_{C2}$  is compared with the desired command. The error is then processed by a compensator to produce the  $i_d$  command. Line currents  $i_a$  and  $i_b$  are sensed, and  $d-q$  is transformed. We do not need to sense  $i_c$  because we know  $i_c = -i_a - i_b$ . The transformation is performed to axes  $d_2 - q_2 - 0_2$ , where the axis  $d_2$  is in phase with the vector of line-to-neutral mains voltages ( $V_{L-N}$ ). This vector has an angle  $\psi$  with reference to the axis  $\alpha$  (Fig. 3)

$$\psi = f_7(t) = \omega_o \cdot t - 2\pi/3 = 2\pi \cdot f_o \cdot t - 2\pi/3. \quad (4)$$

The  $d_2$  and  $q_2$  components of the current are compared with their corresponding command. The  $i_{q2}$  command is zero to achieve a unity displacement factor for the power transferred to the mains. Both the  $d$  and  $q$  channel errors are processed by their

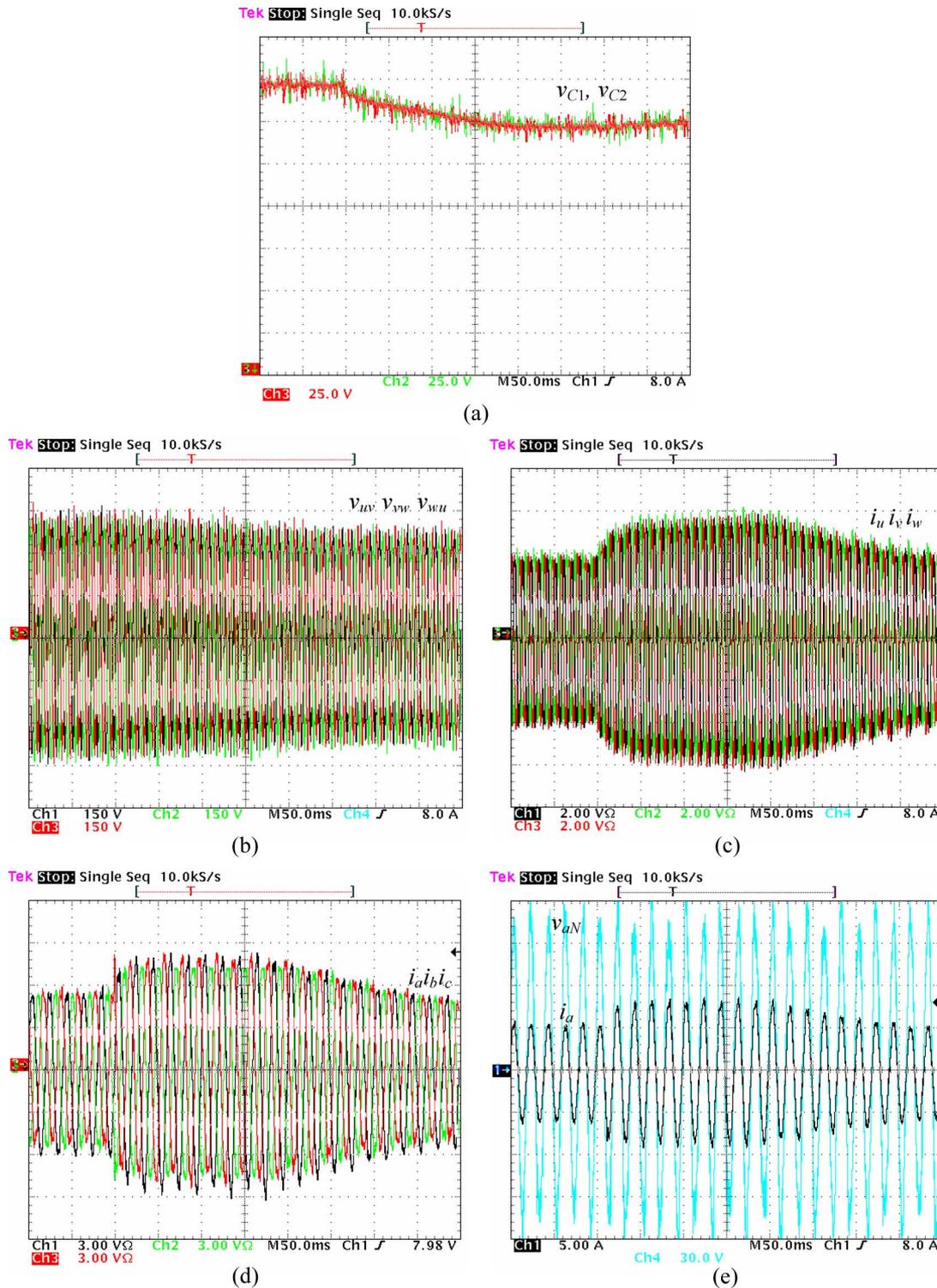


Fig. 8. Experimental results for a step in command  $v_{pn}^*$  from 340 to 300 V (variation of rotor speed is from 1577 to 1396 r/min). Conditions are as follows: rectified windmill generator voltage, constant torque applied to the generator shaft = 10 Nm,  $L_S = 12.5$  mH,  $C_1 = C_2 = 1.1$  mF,  $L_L = 10$  mH,  $V_{aN} = V_{bN} = V_{cN} = 75$  V<sub>rms</sub>,  $f_o = 50$  Hz, and  $f_s = 5$  kHz. (a) DC-link voltages  $v_{C1}$  and  $v_{C2}$  (25 V/div). (b) Generator line-to-line voltages  $v_{uv}$ ,  $v_{vw}$ , and  $v_{wu}$  (150 V/div). (c) Generator currents  $i_u$ ,  $i_v$ , and  $i_w$  (2 A/div). (d)  $i_a$ ,  $i_b$ , and  $i_c$  (3 A/div). (e) Mains voltage  $v_{aN}$  (30 V/div) and line current  $i_a$  (5 A/div).

specific compensators. Finally, both channels are decoupled through  $f_2$  and  $f_3$

$$\begin{aligned} f_2(i_{q2}, v_{pn}) &= (\sqrt{2} \cdot i_{q2} \cdot \omega_o \cdot L_L) / v_{pn} \\ f_3(i_{d2}, v_{pn}) &= (\sqrt{2} \cdot i_{d2} \cdot \omega_o \cdot L_L) / v_{pn}. \end{aligned} \quad (5)$$

The outcome of both channels are the  $d_2$  and  $q_2$  components of  $\mathbf{V}_{ref}$ . Through  $f_4$ , we obtain the  $\mathbf{V}_{ref}$  length  $m$  (modulation index) and angle  $\phi$  (with reference to the axis  $d_2$ )

$$\begin{aligned} m &= f_{41}(v_{refd}, v_{refq}) = \sqrt{v_{refd}^2 + v_{refq}^2} \\ \phi &= f_{42}(v_{refd}, v_{refq}) = \tan^{-1}(v_{refq}/v_{refd}). \end{aligned} \quad (6)$$

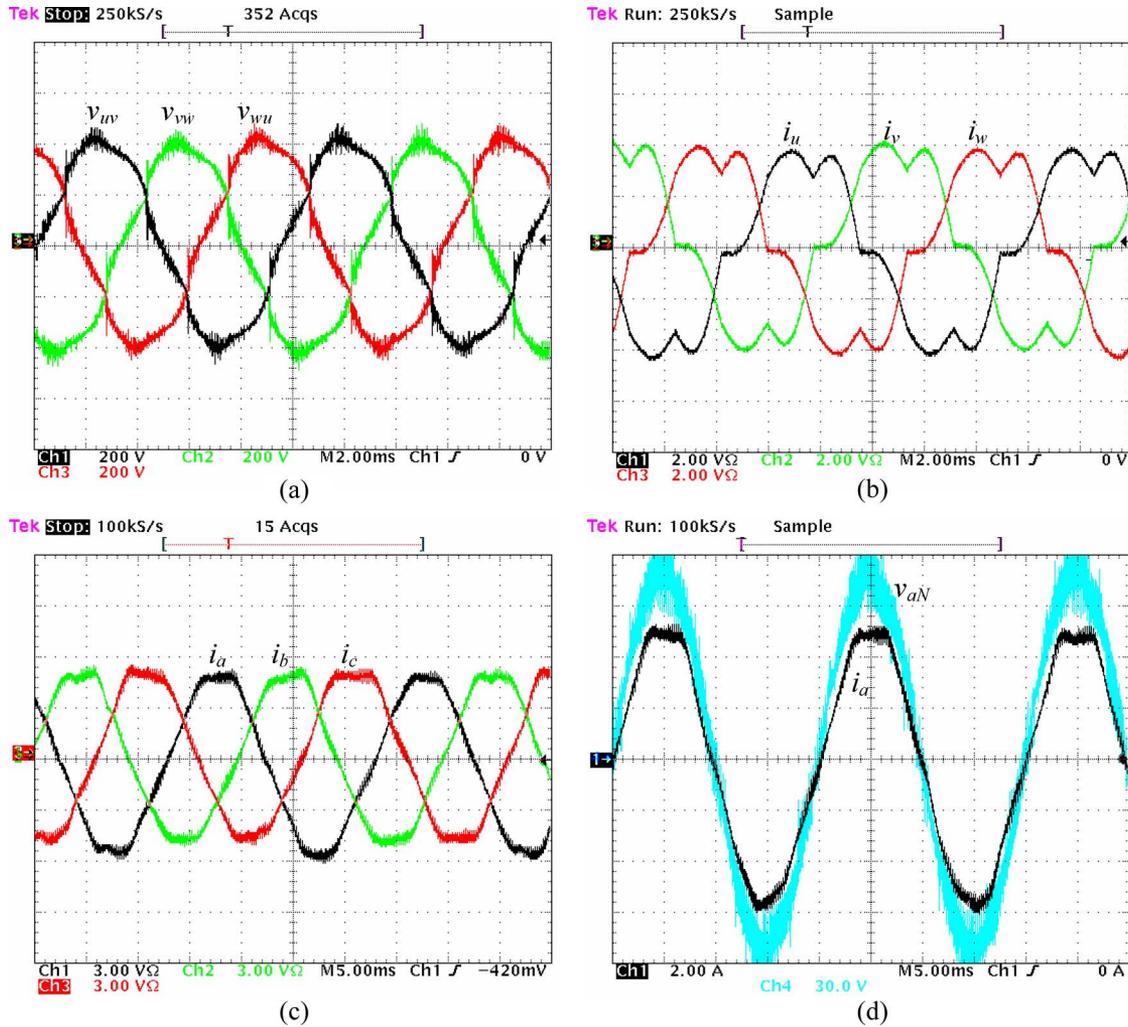


Fig. 9. Zoom-in views of Fig. 8(b)–(e) before the step in  $v_{pn}^*$ . (a) Generator line-to-line voltages  $v_{uv}$ ,  $v_{vw}$ , and  $v_{wu}$  (200 V/div). (b) Generator currents  $i_u$ ,  $i_v$ , and  $i_w$  (2 A/div). (c)  $i_a$ ,  $i_b$ , and  $i_c$  (3 A/div). (d) Mains voltage  $v_{aN}$  (30 V/div) and line current  $i_a$  (2 A/div).

The angle  $\theta$  can then be obtained by simply adding  $\psi$  and  $\phi$  (Fig. 3). This is the angle that will be used to perform the transformation of the duty ratios from  $d_1-q_1-0_1$  to  $a-b-c$  coordinates within the modulator.

#### D. Online Estimation of the Load Displacement Angle

To implement the ONTV<sup>2</sup> PWM, we need an estimate of  $\tan(\varphi)$ . The angle  $\varphi$  corresponds to the angle between the vector of fundamental line currents and  $\mathbf{V}_{ref}$ . Hence, the value of  $\tan(\varphi)$  can be computed online by simply sensing the line currents, applying the  $d_1-q_1$  transformation and using (7) (in the case of non linear-and-balanced loads, the dc values of the  $d_1$  and  $q_1$  components can be used). Considering that in general, the controller already requires sensing of the line currents, the implementation of the ONTV<sup>2</sup> PWM does not require additional sensors

$$\tan(\varphi) = f_5(i_{d_1}, i_{q_1}) = -i_{q_1}/i_{d_1}. \quad (7)$$

#### IV. SIMULATION AND EXPERIMENTAL RESULTS

Simulations have been carried out in Simulink with the closed-loop switching and average models of the system. For the experimental validation, the prototype in Fig. 4 has been employed. The controller and modulator blocks have been implemented with a PowerPC (dSPACE 1103) and the distributor block using a field-programmable gate array (Altera EPF10K70) [43]. In Figs. 5 and 6, the converter ac side is connected to a three-phase  $RL$  load. In Figs. 7–9, the converter is connected to the mains, as shown in Fig. 1(a).

Fig. 5(a) shows the advantage of introducing the neutral-point voltage control. The simulation has been performed in the same conditions as in Fig. 2(a). Fig 5(b) shows the modified duty-ratio pattern during the transient. Fig. 6 shows the good performance achieved with this control in the experiments. In Fig. 6(a), the control effort is negligible, where  $d_{offset} \cong -0.001$ . In Fig. 6(b),  $d_{offset} \cong -0.01$ .

The complete control has been tested through simulation in the conditions of Fig. 7. These results have been obtained with the complete closed-loop switching model for a step in

voltage command  $v_{pn}^*$  from 340 to 300 V. We can observe that there is no unbalance of the dc-link capacitor voltages before, during, and after the step transient, which is as expected [Fig. 7(a)]. Considering that the ONTV<sup>2</sup> PWM already guarantees this balancing, the effort of the dedicated control is minimal ( $-0.001 < d_{\text{offset}} < 0.001$ ). In Fig. 7(b), we can observe that the power conversion is achieved with a unity displacement factor, which is as intended.

Fig. 8 shows the experimental results obtained with an emulator of a wind-energy conversion system connected to the ac mains [Fig. 1(a)]. Fig. 9 shows zoom-in views of Fig. 8(b)–(e). The voltage of a windmill generator is connected to the inverter dc-link through a set of three line inductances ( $L_S$ ) and a three-phase diode rectifier. A constant torque of 10 Nm is applied to the generator shaft to emulate the wind torque. A step in  $v_{pn}^*$  from 340 to 300 V is forced, producing a variation of the rotor speed from 1577 to 1396 r/min. The controller employed is defined as

$$\begin{aligned} H_o(s) &= -2 \cdot \frac{(s + 2\pi \cdot 0.01)}{s \cdot (s + 2\pi \cdot 25)} \\ H_v(s) &= -1000 \cdot \frac{(s + 2\pi \cdot 5)}{s \cdot (s + 2\pi \cdot 2500)} \\ H_{id}(s) &= 500 \cdot \frac{(s + 2\pi \cdot 25)}{s \cdot (s + 2\pi \cdot 2500)} \\ H_{iq}(s) &= 1000 \cdot \frac{(s + 2\pi \cdot 5)}{s \cdot (s + 2\pi \cdot 2500)} \\ [d_{\text{offset\_min}}, d_{\text{offset\_max}}] &= [-0.1, 0.1] \\ [i_{d2\_min}^*, i_{d2\_max}^*] &= [-10, 10] \\ [m_{\text{min}}, m_{\text{max}}] &= [0, 1]. \end{aligned} \quad (8)$$

The mains voltages ( $v_{aN}$ ,  $v_{bN}$ , and  $v_{cN}$ ) have been obtained by connecting an isolation transformer and an autotransformer to the public grid. The distortion and asymmetry of the obtained three voltages [see Figs. 8(e) and 9(d)] produce the distortion that can be appreciated in the output phase currents. Despite these nonidealities, the results show an overall good performance of the complete control, which guarantees the dc-link capacitor voltage balance and unity displacement factor during the entire transient.

## V. CONCLUSION

A closed-loop control scheme for the three-level three-phase NPC dc–ac converter using the ONTV<sup>2</sup> PWM has been presented. The selected modulation allows one to use small dc-link capacitors, leading to an improved performance of the closed-loop system. A new specific control loop has been designed to speed up the recovery from neutral-point voltage perturbations. An online estimation of the load displacement angle and load linear/nonlinear nature is introduced at no extra cost. The remaining part of the control is analogous to the control for a two-level converter with an appropriate interfacing to the

selected modulation. The good performance of the proposed control has been verified through simulation and experiments.

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